

### **DEPARTMENT OF PUBLIC SAFETY**

# CORRECTIONS ADMINISTRATION POLICY AND PROCEDURES

EFFECTIVE DATE: MAR 09 2010

POLICY NO.: COR.10.1I.05

SUPERSEDES (Policy No. & Date): COR.10.1I.05 (12/29/2008) COR.10.1I.06 (12/29/2008)

SUBJECT:

**INFORMED CONSENT & RIGHT TO REFUSE** 

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### 1.0 PURPOSE

The purpose of this policy is to provide guidelines for medical and mental health examinations, treatments, and other medical procedures that require informed consent by the patient and provide guidelines on how to manage a patient's refusal of medical interventions and treatments

### 2.0 <u>REFERENCES AND DEFINITIONS</u>

#### .1 References

- a. Hawaii Revised Statutes; Section 26-14.6, <u>Department of Public Safety</u>; Section 353C-2, Director of Public Safety, <u>Powers and Duties</u>; Section 352-8, <u>Guardianship and Custody of Persons Committed</u>; and Section 671-3, <u>Informed Consent</u>.
- b. National Commission on Correctional Health Care, <u>Standards for Health Services in Prisons and Jails</u>, (2008).

#### .2 Definitions

<u>Informed Consent</u>: Voluntary consent or agreement by a patient to a treatment, examination, or procedure after they have received the significant facts regarding the condition being treated and the nature, consequences, risks (e.g. side effects), benefits, and alternatives concerning the proposed examination, procedure, or treatment, including the right of refusal.

#### 3.0 POLICY

- .1 Information exchanged between a health care provider and a patient while rendering health care is privileged and confidential except where mandated or allowed by law.
- .2 Informed consent shall be required for patients undergoing those examinations, treatments, and procedures that are intrusive or governed by informed consent standards in the community, or that are associated with any significant risks to the patient.

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- .3 The health care provider attending to the patient shall be responsible for informing the patient of the condition and recommended procedure or treatment and any risks that may be involved in the treatment, including available alternatives.
- .4 In the case of treatment or procedures performed in the community by a specialist or by a provider of a community hospital, or by any other non-state employee providing services to an inmate/patient, the specialist or community provider is responsible for securing the informed consent from the patient.
- .5 A competent patient has the right to refuse medical care of any sort including diagnostic or laboratory procedures and blood products, unless their refusal represents a danger to themselves or others; or is otherwise the subject of an exception according to policy, procedure, or state or federal law.
- .6 In every case in which the health care provider waives consent, reasons for the decision to undertake the procedure without consent of the patient shall be documented in the medical record. The informed consent requirement may be waived for the following reasons:
  - a. An emergency that requires immediate medical intervention for the preservation of life or the safety of the patient unless there is an established initiative by the inmate, such as a living will, waiving such intervention.
  - b. If in the professional opinion of the provider, the facility security and operations or the safety of individuals is endangered by the patient.
  - c. When a psychiatrist, or court of law, judges an inmate to be incapable of understanding the necessary medical information according to the standard, legally defined criteria of competence.
- .7 Inmates shall not participate in experimental projects involving medical, pharmaceutical or cosmetic research, including aversive conditioning, psycho-surgery or the application of cosmetic substances to the body that are being tested for possible ill effects prior to sale to the general public.
  - a. This policy statement does not preclude the use of experimental medical procedures or treatments as part of a research protocol passed by an institutional human research review committee and subject to informed consent.

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b. This policy statement does not preclude the collection of statistical or epidemiological data on inmates.

### 4.0 PROCEDURE

- .1 When a patient consents to a treatment or procedure provided by a Health Care section, the health care provider shall ensure that Form DOC 0427, Consent to Operation, Post Operative Care, Medical/Mental Health Treatment, Anesthesia, or Other Procedure or DOC 0448 Informed Consent for Hepatitis C Combination Therapy Introm A and Ribavirin (as appropriate) is reviewed with and signed by the patient, if applicable. The attending physician/psychiatrist shall enter a narrative progress note indicating the information provided and the results of the discussion with the patient. The clinic or mental health nurse shall ensure the form is completed and health information staff shall file the form under the Consent index in the medical record.
- When a patient refuses a scheduled medical appointment, medical or mental health treatment, surgical procedure, or medications, the patient shall be informed by health care staff of the consequences of such a refusal and shall be asked to sign form DOC 0417, Refusal To Consent To Medical, Mental Health Or Surgical Treatment. The provider shall record a narrative statement on the progress notes. The health care provider shall review the refusal and sign form DOC 0417 indicating the refusal was reviewed. If appropriate, the patient shall be counseled regarding consequences associated with the refusal of the treatment and record the refusal in the patient's medical record regarding the encounter and the patient's refusal.
- .3 If the refusal will have adverse effects on a serious medical condition, the nurse shall refer the refusal to a health care provider for review. The provider shall counsel the patient regarding the refusal and the consequences of refusing the procedure, treatment or medications. The physician shall sign the appropriate line on Form DOC 0417 to indicate a review was conducted and, if appropriate, shall further document the encounter on the progress notes in the patient's medical record.
- .4 When an inmate refuses medical care, and declines to sign Form DOC 0417, the refusal shall be signed by health care staff and witnessed by a corrections facility employee who both shall sign their names and write, "inmate refused to sign" on Form DOC 0417 and the date of refusal.

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Inmates diagnosed with contagious diseases who refuse treatment may be medically quarantined or isolated by the health care authority, if necessary, to control the spread of the disease.

### 5.0 SCOPE

This policy and procedure applies to all correctional facilities and their assigned personnel.

	APPROVAL RECOMMENDED:	
	Murd	-3/2/10
	Medical Director	<sup>/</sup> Date
	(ell)	3/2/10
	Corrections Health Care Administrator	Date
	day form	3/8/10
	Deputy Director for Corrections	Date
	/ /	
APPROVED: Clayte a tame		
Director	<del></del>	
3/9/10		
Date	<del></del>	

MEDICAL TREATMENT, ANESTHESIA, OR OTHE		
PROCEDURE	K SSN: Facility:	DOB: Date:
You have the right and obligation to make decisions cor	ncerning your he	ealth care. The physician must provide you with
the information and advice concerning the proposed pro	cedure so that y	ou can make an informed
decision		
(1) Explain the nature of the condition(s) in professiona	-	ection below which does not apply to the proposed
and ordinary language.		be crossed out. All sections crossed out must
PROFESSIONAL:	be initialed by	both the physician and the patient.
ORDINARY LANGUAGE:	(6) I concent to	the administration of (assessed as itself as itself
ORDINART EARGONGE.		o the administration of (general, spinal, regional,
AT		sia by my attending physician, by an
	under the direc	st, a nurse anesthetist, or other qualified party
(2) Describe procedures(s) to be performed in	Lundarstand th	ction of a physician as may be deemed necessary.
professional and ordinary language, if appropriate.		nat all anesthetics involve risks that may result in
		and possible serious damage to such vital organs
PROFESSIONAL:	as the brain, ne	eart, lungs, liver and kidney.
ORDINARY LANGUAGE:	These complica	ations may result in paralysis, cardiac arrest
		nsequences or death from both known and
	unknown cause	
AT		
	(7) I consent to	o the use of transfusion of blood and blood
(3) I recognize that, during the course of the operation,	products as dee	emed necessary. I have been informed of the
post operative care, medical treatment, anesthesia, or	risks which are	e transmission of disease, allergic reactions,
other procedure, unforeseen conditions may necessitate	and other unus	ual reactions.
my above-named physician and his or her assistants, to		
perform such surgical or other procedures as are	(8) An	ny tissue or part surgically removed may be
disposed necessary to preserve my life and bodily functi	ions. of by tl	he hospital or physician in accordance with
accustomed		
	practice.	
(4) I have been informed that there are many significant		
risks, such as severe loss of blood, infection, cardiac	(9) Any addition	onal comments may be inserted here:
arrest and other consequences that can lead to death or		
permanent or partial disability, which can result from		
any procedure.	(10) 11 1	
(5) No promise or exemptes has been made to me and		d the opportunity to ask questions about this
(5) No promise or guarantee has been made to me as to result or care.	iorm.	
FULL DISCLE	OSURE	
[ ] I AGREE TO AUTHORIZE THE PROCEDURE DE	ESCRIBED ABO	OVE AND I AGREE THAT MY PHYSICIAN
HAS INFORMED ME OF THE:		
<ul> <li>a) DIAGNOSIS OR PROBABLE DIAGNOSIS</li> </ul>		
b) NATURE OF THE TREATMENT OR PRO		
c) RISKS OR COMPLICATIONS INVOLVED		
d) ALTERNATIVE FORMS OF TREATMENT	T, INCLUDING	NON-TREATMENT, AVAILABLE.
e) ANTICIPATED RESULTS OF THE TREAT	ΓMENT.	650
Patient/Other Legally Responsible Person Sign, If A	Applicable	Date
Physician	<del></del>	Dete
ı nystotatı		Date
DOC 0427 (11/97)		CONFIDENTIAL

DOC 0417 (4/09)

# DEPARTMENT OF PUBLIC SAFETY HEALTH CARE DIVISION

## REFUSAL TO CONSENT TO MEDICAL/SURGICAL/DENTAL TREATMENT/MEDICATION

NAME:	SID: _	DOB:
FACILITY:	DATE:	TIME:
I, the undersigned patient, refuse the f	following treatment and/o	or medication:
(Describ	e Treatment and/or Medi	ication)
I release the State, the Department, the	e facility administration a from any responsibility of	ed to me and I accept the risk involved.  and personnel, the Health Care Division or liability for any unfavorable reaction of to accept treatment or medication.
(Signature of Patient)		(Date)
I, the undersigned, have explained to to or medication recommended for the part of the recommended treatment or medications.	atient's continued good h	the risk involved in refusing treatment nealth and I witness the patient's refusal
(Print Name)	(Signature & Title)	(Date)
A referral has been made to a provider	:: YES	NO
I have reviewed this case and if necess treatment or medication.	sary have further counsel	ed this patient on the risk of refusing
(Print Name of Provider)	Signature & Title)	(Date)
* If the patient refuses treatment and/orefusal witnessed by another correction		es to sign this consent, please have
I have witnessed the above named pate also witnessed the patient's refusal to		nded treatment or medication and I have
(Print Name & Title)	Signature & Title)	(Date)

**CONFIDENTIAL** 

# INFORMED CONSENT FOR HEPATITIS C COMBINATION THERAPY INTRON A AND RIBAVIRIN

#### I AGREE THAT:

- 1. Combination therapy (Intron A and Ribavirin) has been explained to me in terms and language I understand;
- 2. I have been given the opportunity to ask questions related to the combination therapy (Intron A and Ribavirin);
- 3. No promise or guarantee has been made to me as to positive results or cures related to the combination therapy (Intron A and Ribavirin).

#### MY PHYSICIAN HAS INFORMED ME OF:

- 4. The diagnosis or probable diagnosis related to my condition;
- 5. The nature of the recommended treatment plan;
- 6. The risk, side effects, complications, or other consequences involved in such treatment;
- 7. Alternative forms of treatment available including no treatment if I so choose;
- 8. At any time during the course of treatment that I have a positive drug test, treatment will be terminated;
- 9. I understand I will be subject to random drug testing throughout the course of treatment;

I ACCEPT COMBINATION THERAPY FOR HEPATITIS C

10. Anticipated results of the treatment.

☐ I REFUSE COMBINATION THERAPY FOR HEPATITIS C		
PRINT NAME OF PATIENT	SIGNATURE OF PATIENT	DATE
PRINT NAME OF WITNESS	SIGNATURE/TITLE OF WITNESS	DATE
PRINT NAME OF PHYSICIAN	SIGNATURE OF PHYSICIAN	DATE

Original: Medical Record

Canary: Patient

DOC 0448 (2/00)

CONFIDENTIAL